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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCY

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES L-399 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/EP03/010328 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 2002 17, 2003 30, Sept. Sept. TITLE OF INVENTION Method For Regulating the Operating Frequency and Multi-For a Fiber Optic Integrated Circuit Chip <u>functional</u> APPLICANT(S) FOR DO/EO/US Sven Voigt and Guenter Spahlinger Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). \mathbf{x} A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \mathbf{x} An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: (see continuation sheet)

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP03/010328 L-399 CALCULATIONS The following fees have been submitted PTO USE ONLY \$ 21. 😾 Basic national fee......\$300 300 22. Examination fee

If International preliminary examination report prepared by USPTO and all claims satisfy provisions of
\$100 \$ 200 All other situations \$200 23. 🔯 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100
International Search Report prepared and provided to the Office \$400 500 All other situations.....\$500 000 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) \$ 9 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 0 claimed priority date (37 CFR 1.492(h)). \$ NUMBER FILED NUMBER EXTRA RATE **CLAIMS** 3 \$ - 20 = 0 x \$50 0 Total claims \$200 S Independent claims - 3 = х n MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 0 TOTAL OF ABOVE CALCULATIONS = .000 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$1,000 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 0 claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$1,000 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 40 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1,040 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of $\$ \frac{1,040}{}$ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ _ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Elliott N. Kramsky, Esq. SIGNATURE 5850 Canoga Avenue, Suite 400 Elliott N. Woodland Hills, CA 91367 NAME

Ph: (818) 992-5221

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U.S. APPLICATION NO.	INTL. APPLICATION NO.	ATTORNEY DOCKET NO
(if known)	PCT/EP03/010328	L-399

16. Other items or information:

International Application (ANTRAG);

International Publications WO 2004/038329 A2 and A3 including International Search Report;

Notice Informing the Applicant of the Communication of the International Application to the Designated Offices;

International Preliminary Examination Report (INTERNATIONALER VORLAEUFIGER PRUFUNGSBERICHT) dated August 3, 2004 and English language translation of Supplemental Sheets 1 to 3;

German Patent Office communication dated August 4, 2003 in German patent application 102 45 540.6;

Attorney's response to German Patent Office communication dated September 26, 2003;

German Patent Office communication of Decision to Grant (Erteilungsbeschluss) dated April 19, 2004 in German patent application 102 45 540.6; and

Granting Certificate (URKUNDE) of basic German application 102 45 540.6 (with DE 102 45 540 B3).